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PTO/SB/16 (2-98)

Approved for use through 01/31/2001. OMB 0651-0037
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53 (c).

INVENTOR(S)						
Given Name (first and middle (if any))	Family Name or Surname	Residence (City and either State or Foreign Country)				
Peter C.	Sercel	Pasadena, CA				
Kerry J.	Vahala	San Gabriel, CA				
<input type="checkbox"/> Additional inventors are being named on the ___ separately numbered sheets attached hereto						
TITLE OF THE INVENTION (280 characters max)						
Resonant optical power control devices and methods of fabrication thereof						
Direct all correspondence to:						
<input type="checkbox"/> Customer Number		<input type="text"/>			→ Place Customer Number Bar Code Label here	
OR						
<input checked="" type="checkbox"/> Firm or Individual Name		David S. Alavi				
Address		3762 West 11th Ave. #408				
Address						
City	Eugene	State	OR	ZIP	97402	
Country	US	Telephone	541-346-4619	Fax	800-853-6150	
ENCLOSED APPLICATION PARTS (check all that apply)						
<input checked="" type="checkbox"/> Specification Number of Pages	60	<input type="checkbox"/> Small Entity Statement				
<input checked="" type="checkbox"/> Drawing(s) Number of Sheets	16	<input checked="" type="checkbox"/> Other (specify) postcard receipt				
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT (check one)						
<input checked="" type="checkbox"/> A check or money order is enclosed to cover the filing fees		FILING FEE AMOUNT (\$)				
<input type="checkbox"/> The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: <input type="text"/>		\$150.00				
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.						
<input checked="" type="checkbox"/> No.						
<input type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: _____						

Respectfully submitted,

SIGNATURE

David S. Alavi

David S. Alavi

TYPED or PRINTED NAME

541-346-4619

TELEPHONE

Date 02, 17, 00

REGISTRATION NO.

40,310

(if appropriate)

Docket Number:

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C., 20231.